

# **Nanoparticle Technology**

## **Lecture 07: 3-D Nanostructures Fabrication**

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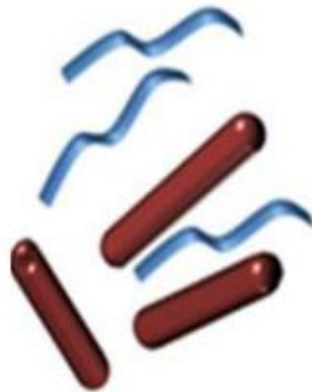
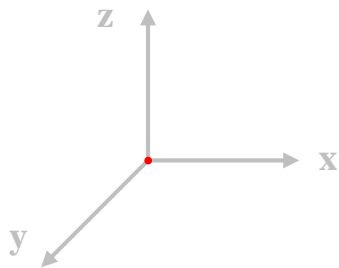
# Introduction to 3-D nanostructures fabrication

## Classification of nanomaterials

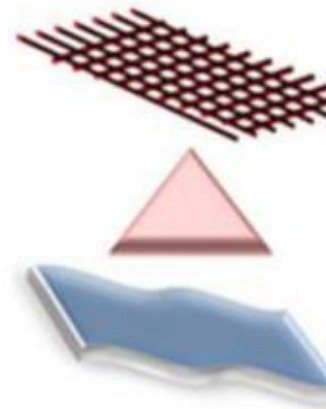
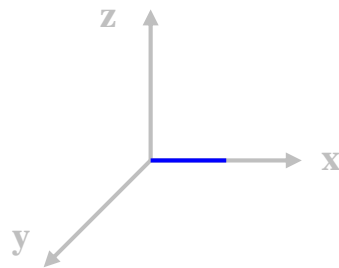
### Dimensional classification



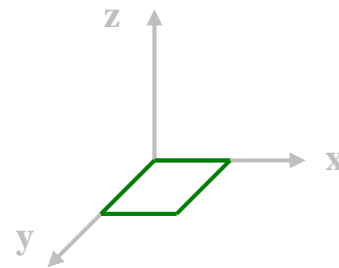
**0-D**



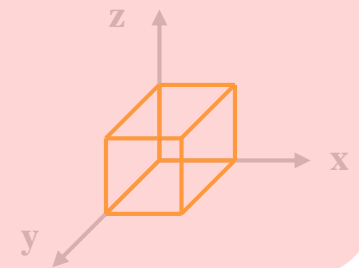
**1-D**



**2-D**



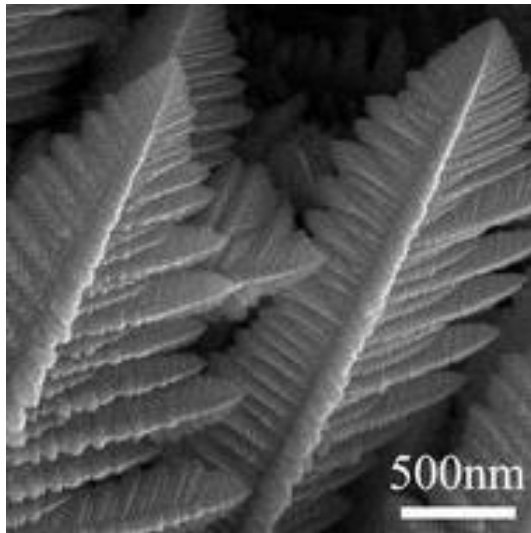
**3-D**



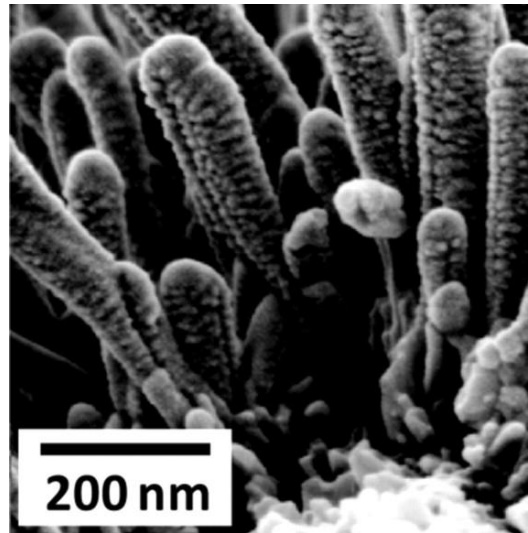
## Classification of nanomaterials

### Three-dimensional (3-D) structures

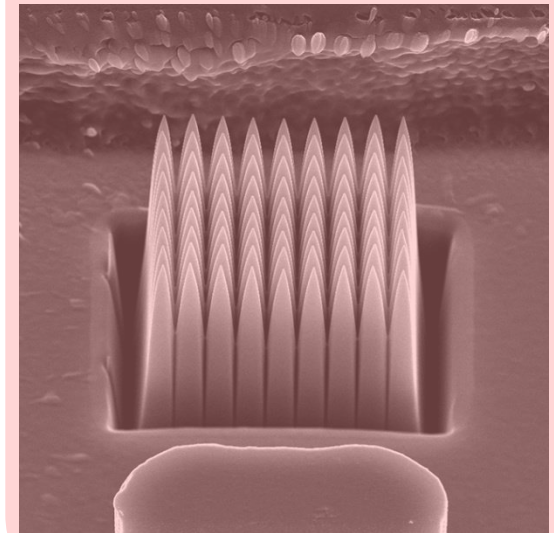
- Dendrite -



- Nanostructured thin film -



- Nanopatterning -



# Photolithography

## Lithography

### Lithography process



Lithographic  
pencil applied



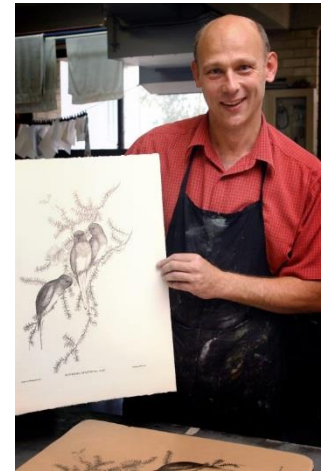
Bitumen applied  
to surface



Greasy ink  
rolled on



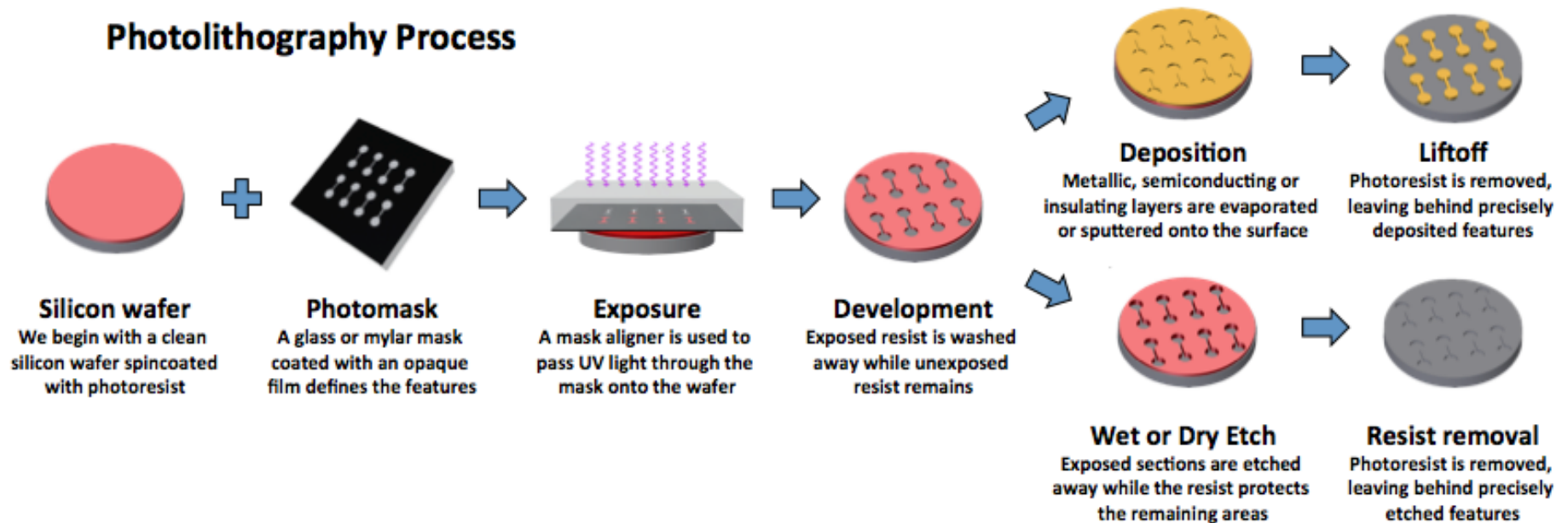
Paper over  
inked surface



Final result

## Photolithography

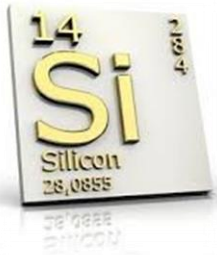
### Photolithography Process



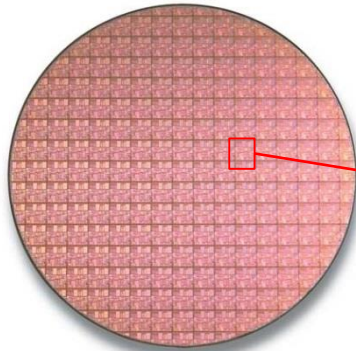
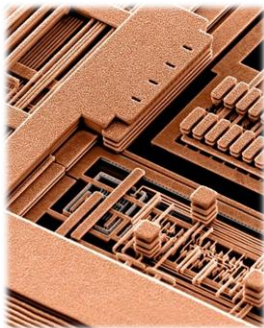


# Nanoparticle Technology

## Photolithography: substrate (silicon wafer)

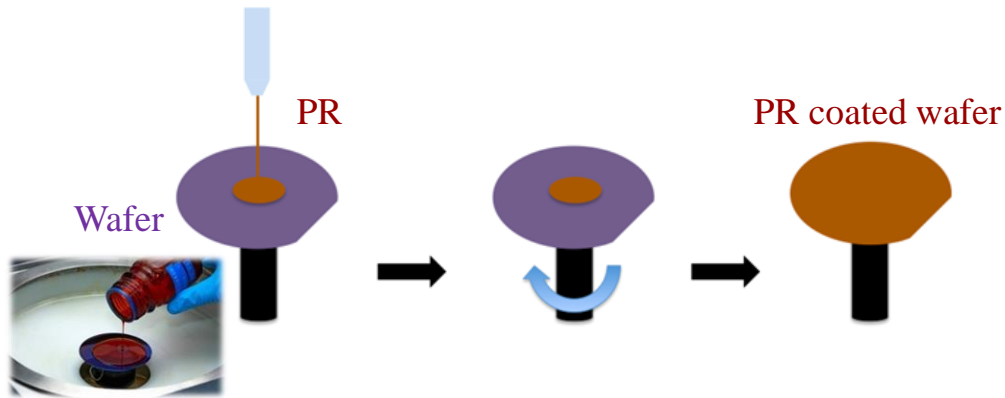


Patterning



## Photolithography: photoresist (PR)

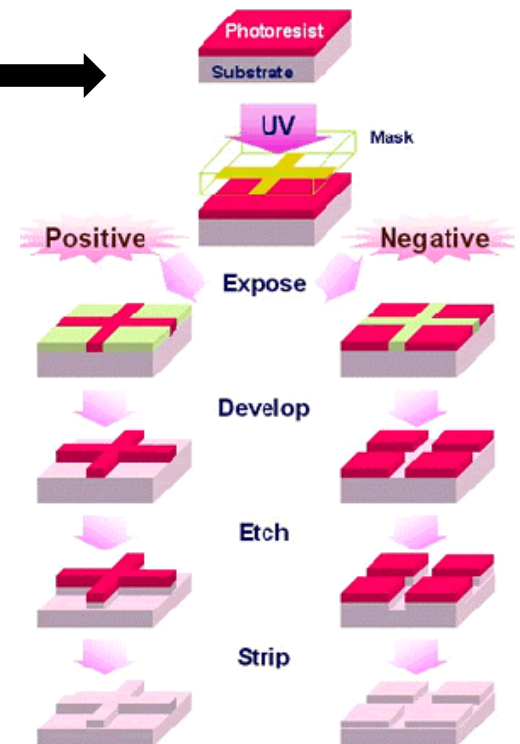
### PR spin coating



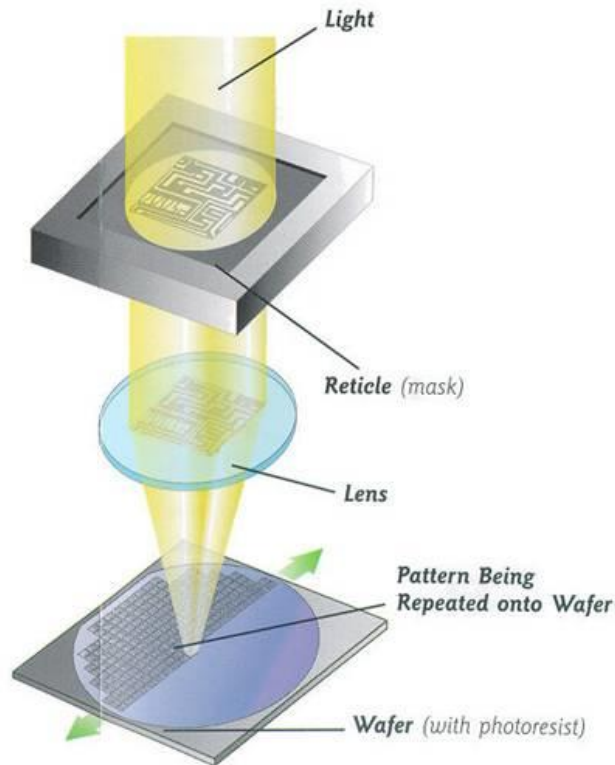
### Positive PR vs. Negative PR

Positive PR: Exposure to the UV light changes the chemical structure of the resist so that it becomes more soluble in the develop solution.

Negative PR: Exposure to the UV light causes the negative resist to become more difficult to be dissolved, meaning that the develop solution removes only the unexposed parts.



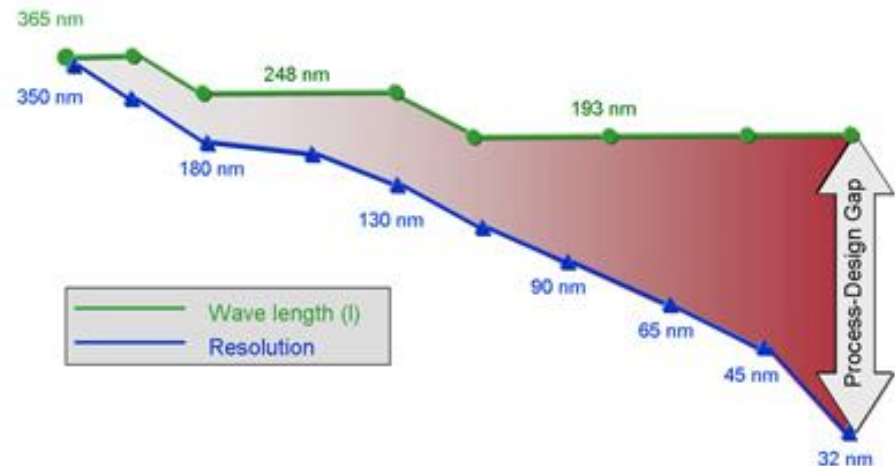
## Photolithography: exposure



Resolution:

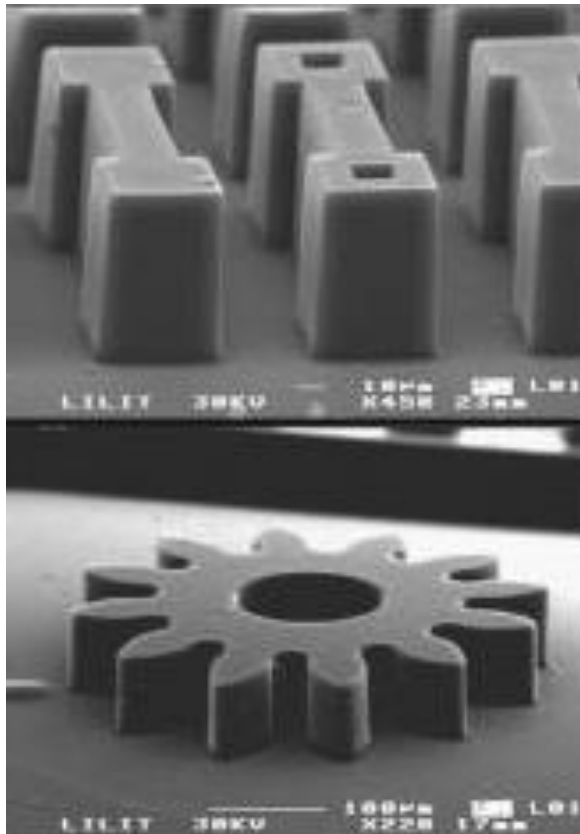
$$R = K \times \frac{\lambda}{n \sin \theta}$$

$K$ : technical limited constant  
 $\lambda$ : wavelength (UV: 100 ~ 400 nm)  
 $n$ : an index of refraction (air = 1)

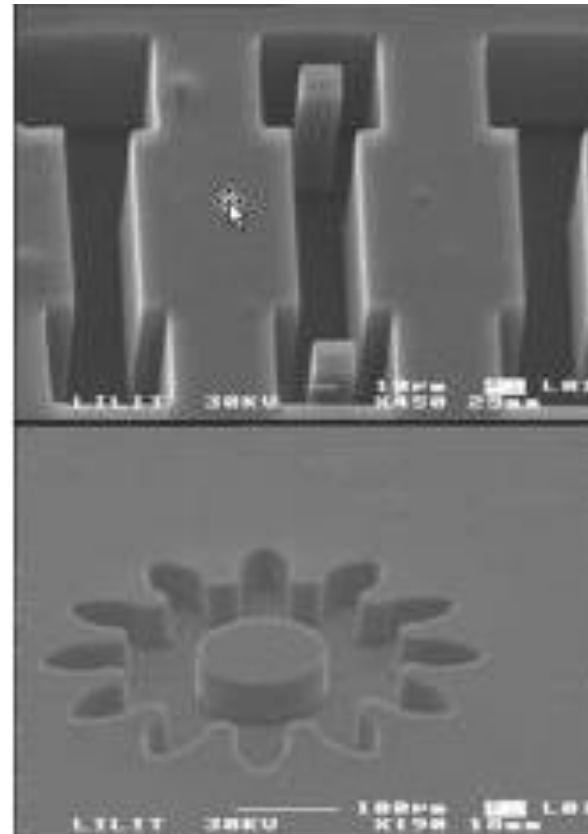


## Photolithography: lift off vs. etch

**Lift off**



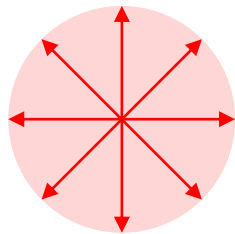
**Etch**



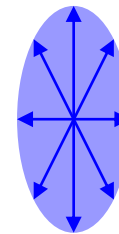
## Etch: isotropic vs. anisotropic

**Etch is the process of using strong acid or base to cut into the unprotected parts of a surface to create a design.**

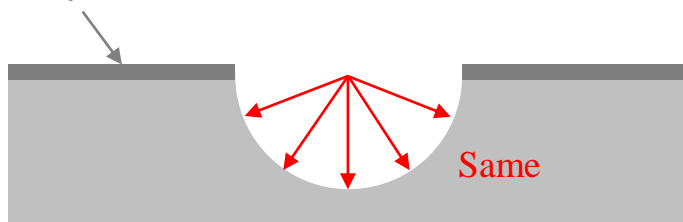
Isotropic



Anisotropic

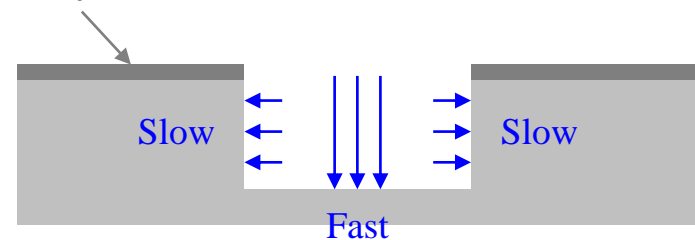


Protected by film mask



Substrate

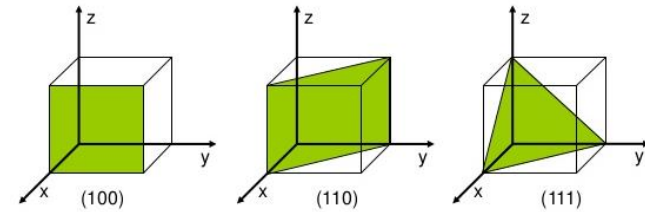
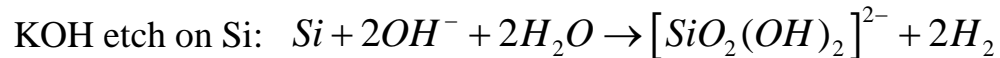
Protected by film mask



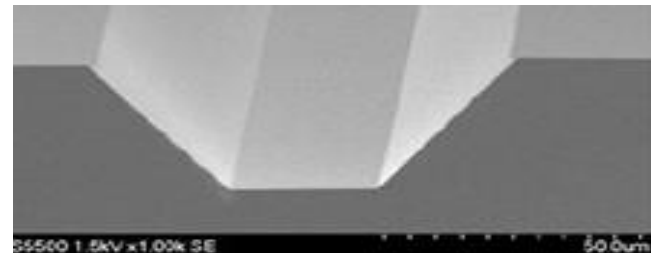
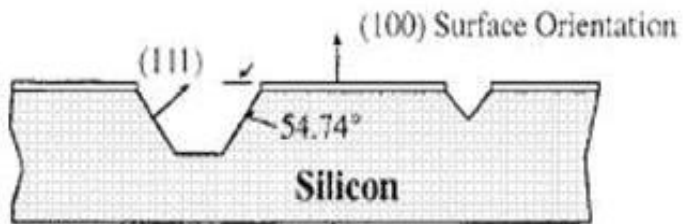
Substrate

## Anisotropic etch: Si orientation

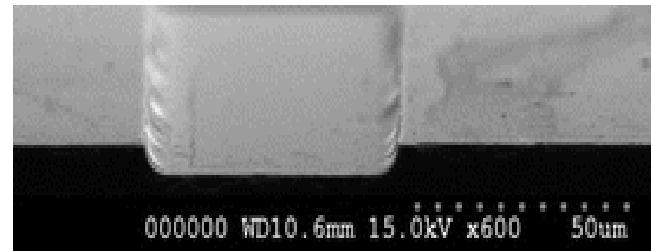
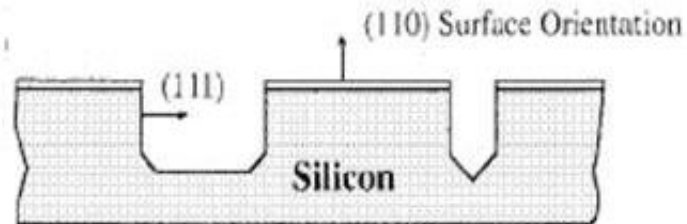
**Si (111) shows an extremely low etch rate in KOH solution.**



### Si (100) anisotropic wet etch

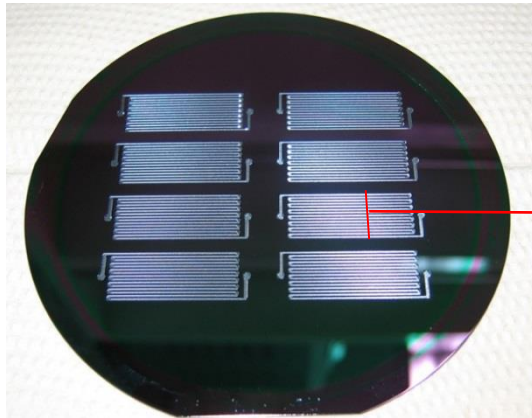


### Si (110) anisotropic wet etch

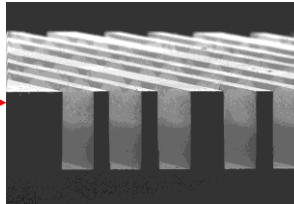




## Photolithography: rectangular channels



Cross-section



**Substrate:** Si wafer (110)

Thickness: 500  $\mu\text{m}$

**SiO<sub>2</sub> formation** (thickness: 1  $\mu\text{m}$ )

Thermal oxide was grown onto Si (110) wafer by thermal wet oxidation.

**Photoresist (PR) coating**

AZ1512, thickness: 1  $\mu\text{m}$

**Film mask**

Channel width: 600  $\mu\text{m}$

**Exposure**

UV, exposure energy: 15 mW  $\times$  4.5 sec

**Development**

AZ 300 developer

**Buffered HF (BHF) etching**

NH<sub>4</sub>F : HF = 7 : 1

**PR strip**

H<sub>2</sub>SO<sub>4</sub> : H<sub>2</sub>O<sub>2</sub> = 4 : 1

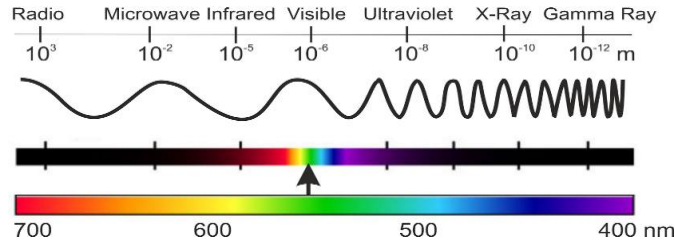
**KOH wet etching**

30 wt% KOH solution, at 80 for 3 hrs

**Buffered HF (BHF) etching**

NH<sub>4</sub>F : HF = 7 : 1

## Lithography techniques



Resolution:

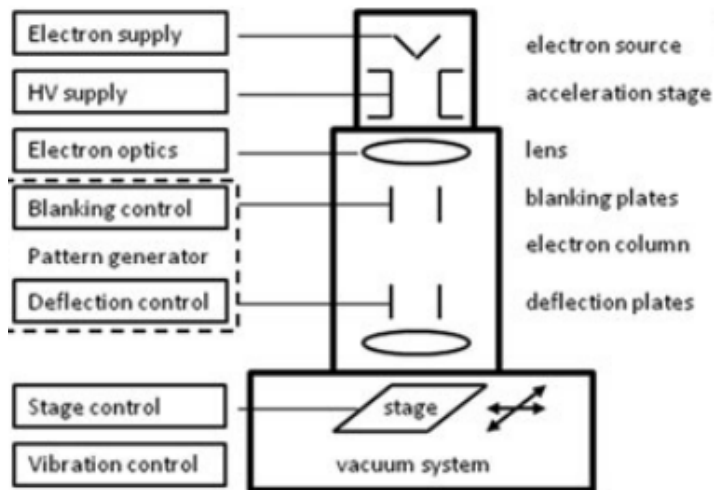
$$R = K \times \frac{\lambda}{n \sin \theta}$$

$K$ : technical limited constant

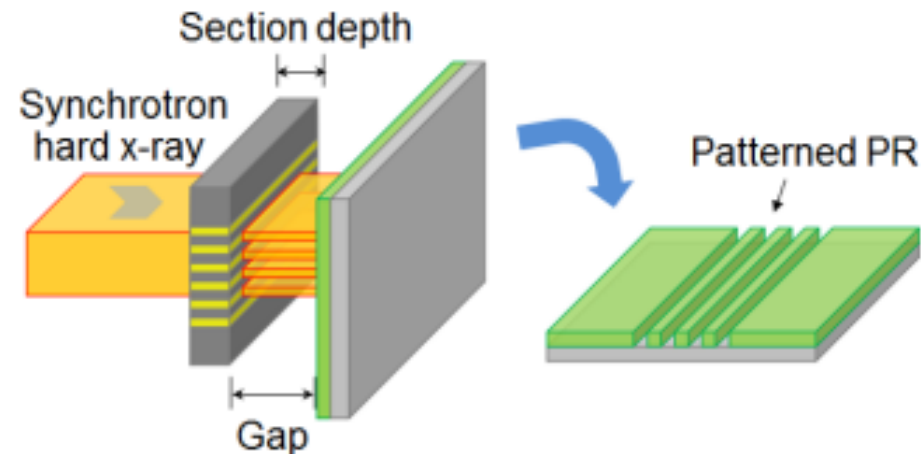
$\lambda$ : wavelength

$n$ : an index of refraction

### Electron-beam lithography



### X-ray lithography

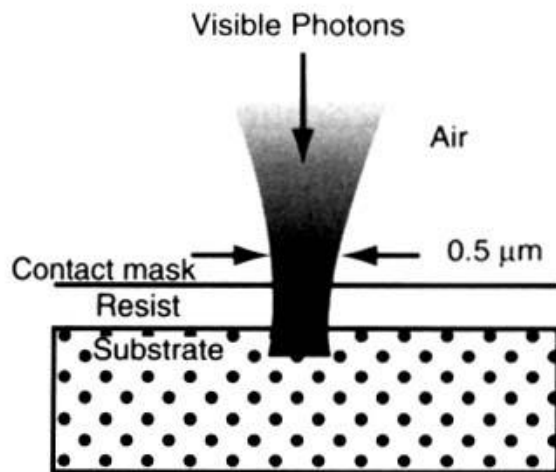




## Lithography techniques

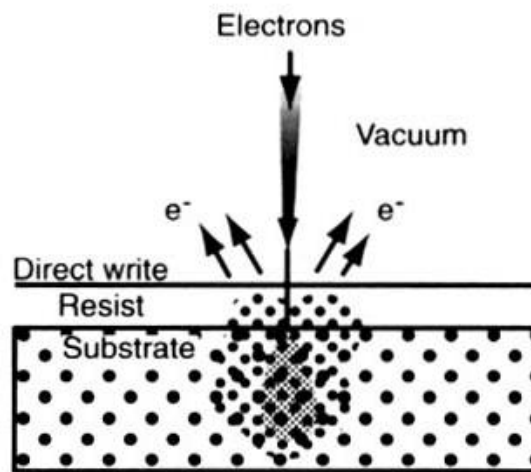
### Comparison

**Photolithography**



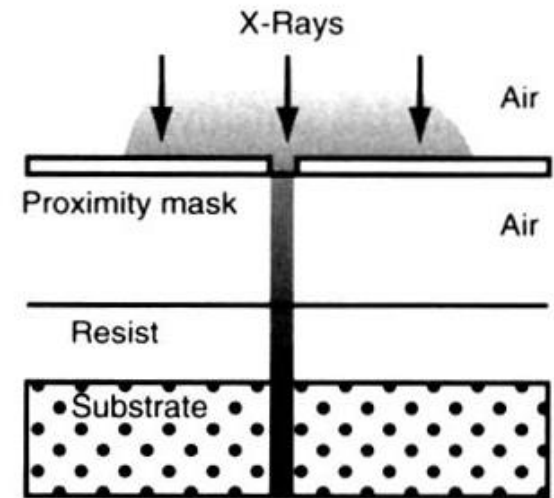
**diffraction limits resolution**

**Electron-beam lithography**



**Scattering problem**

**X-ray lithography**



**Little diffraction, high depth of focus**

## Lithography techniques

### Comparison

	Photolithography	Electron-beam lithography	X-ray lithography
Source	Ultraviolet (UV)	Electron	X-ray
Wavelength	100 ~ 400 nm	Depending on voltage	0.4 ~ 4 nm
Resolution limit	~ 125 nm	~ 20 nm	~ 15 nm
Resist	Various polymers	Polymethylmethacrylate	Polymethylmethacrylate
Advantages	Fast process Simple Relatively low cost	Print complex patterns directly on wafers Eliminates the diffraction problem Flexible technique	Utilizes short wavelength of 1 nm Simple: Requires no lenses Allows for small feature size Faster than electron-beam lithography
Disadvantages	Low resolution	Slower than photolithography Expensive and complicated Secondary electrons	Thin lens Cannot be focused through lens Masks are expensive to produce

# Nanoimprint lithography

## Nanoimprint lithography: process



### Step 1: Preparation

A mold is fabricated by electron-beam lithography and dry etching.



### Step 2: Pressing mold

The mold is pressed into a soft thermoplastic polymer on a substrate combined with heating or UV radiation.



### Step 3: Removing mold

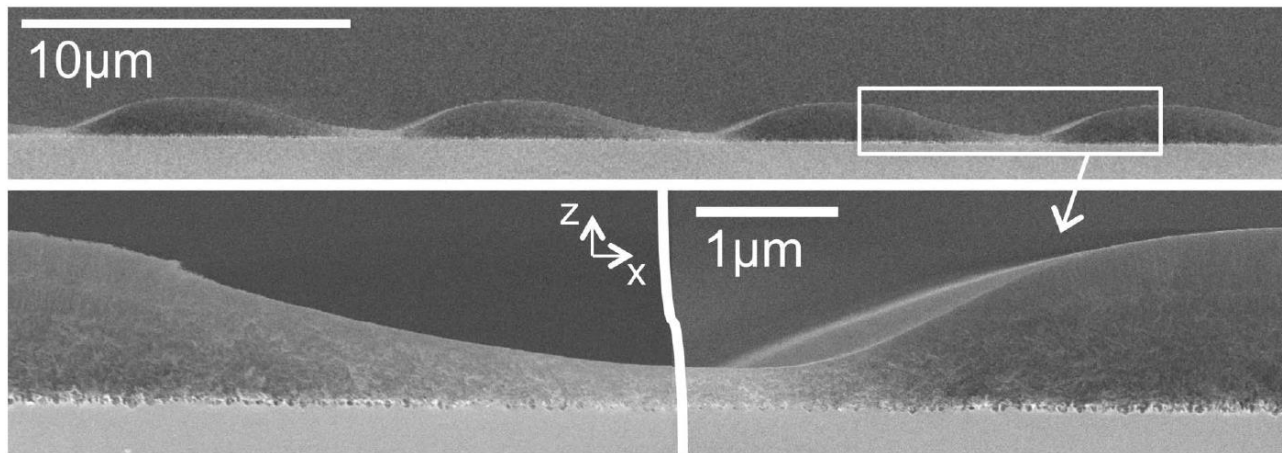
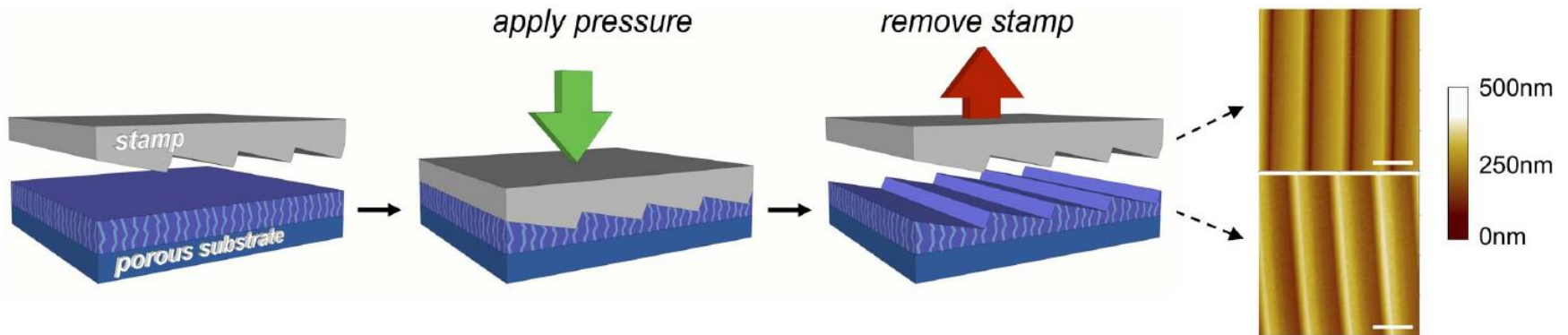
The polymer is patterned and the mold release from substrate.



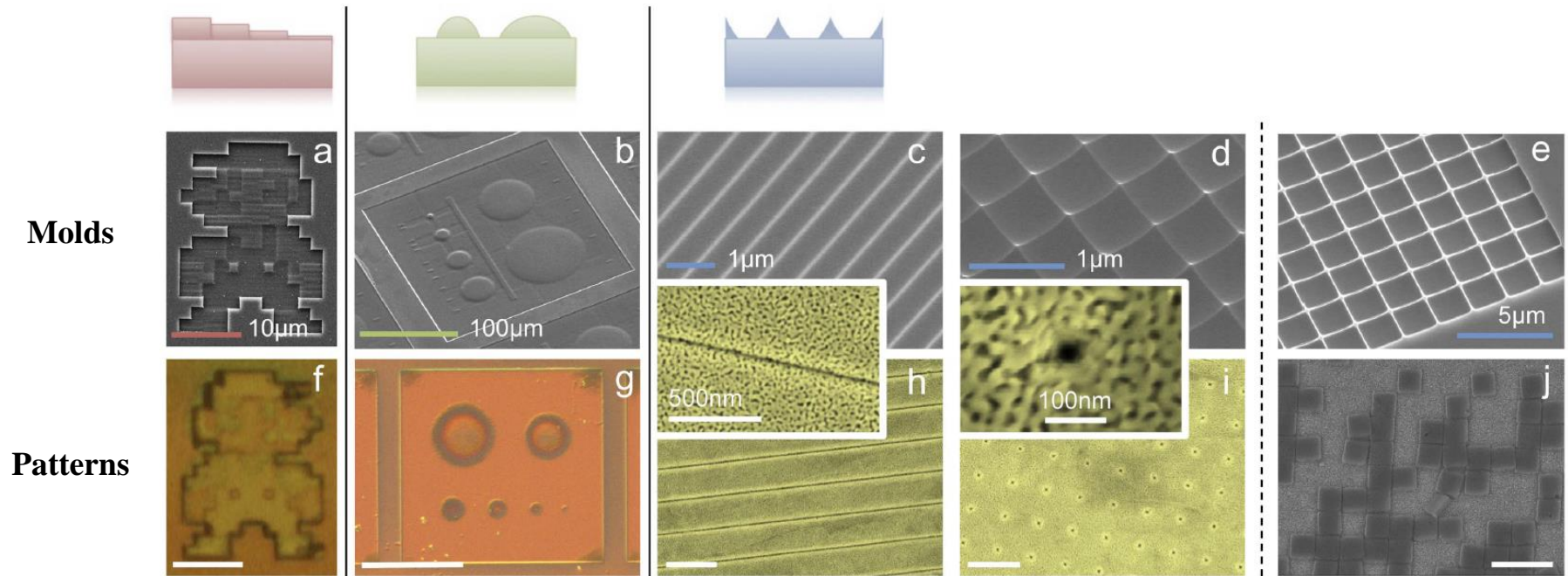
### Step 4: Etch

Residual imprint polymer under mold protrusion removed by reactive ion etch (RIE) process

## Nanoimprint lithography: process

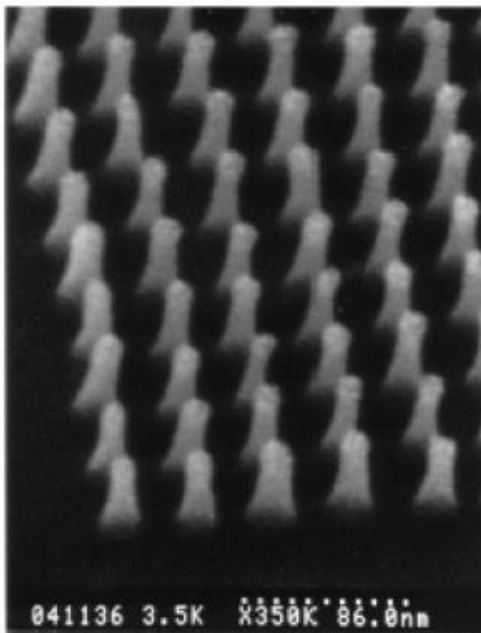


## Nanoimprint lithography: examples



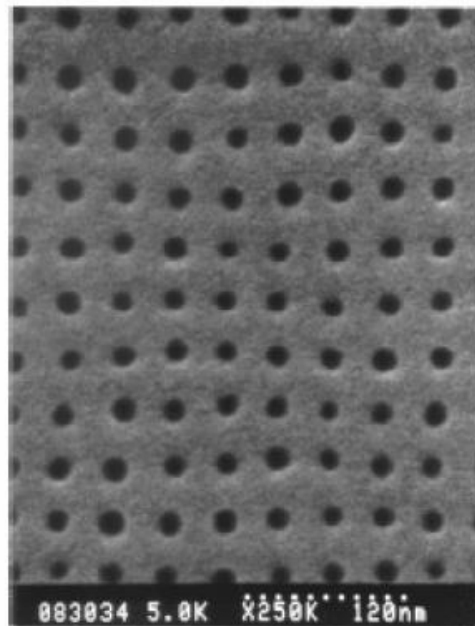
## Nanoimprint lithography: examples

**Mold**



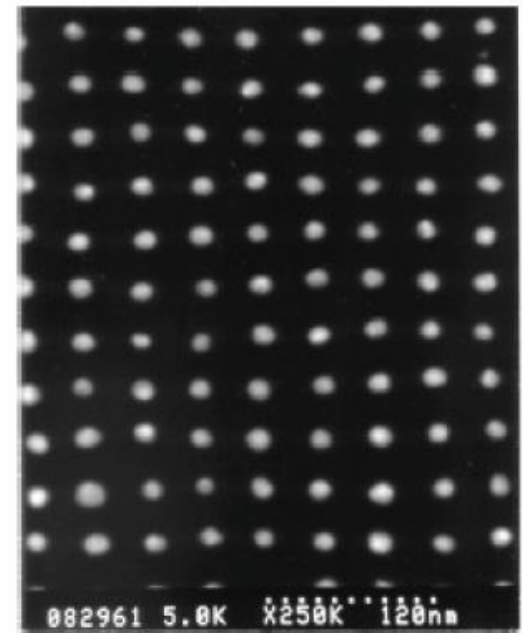
**10 nm diameter pillar mold**

**Resist (after pressing mold)**



**10 nm diameter resist holes  
by imprinting**

**Pattern (after lift off)**

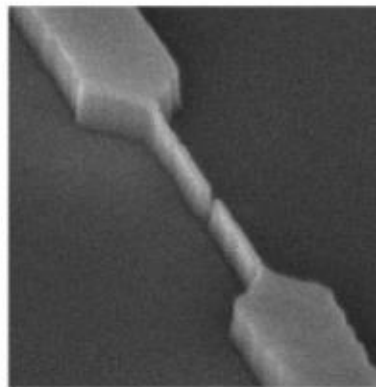
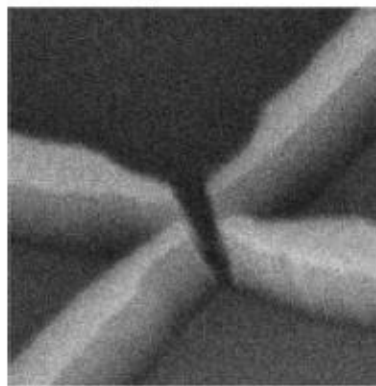


**10 nm diameter metal dots  
by imprint and lift off**

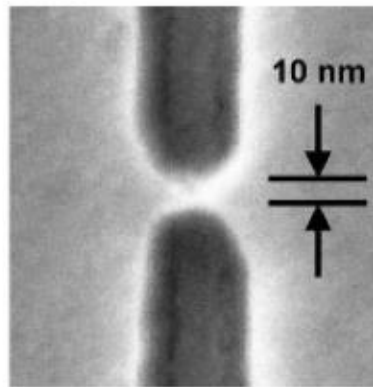
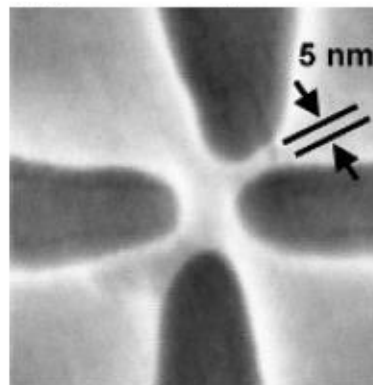


## Nanoimprint lithography: examples

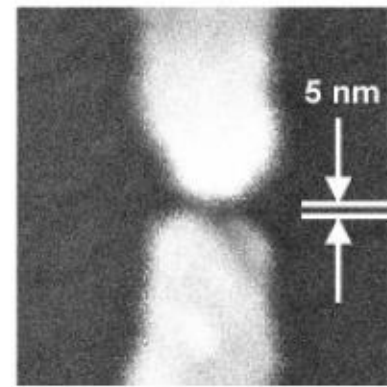
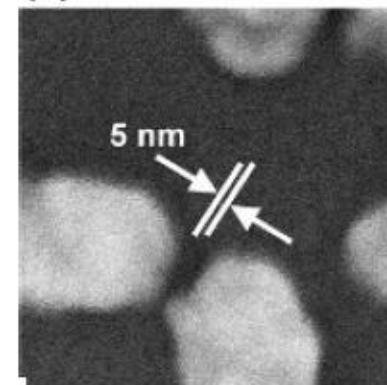
**Mold**



**Resist (after pressing mold)**



**Pattern (after lift off)**

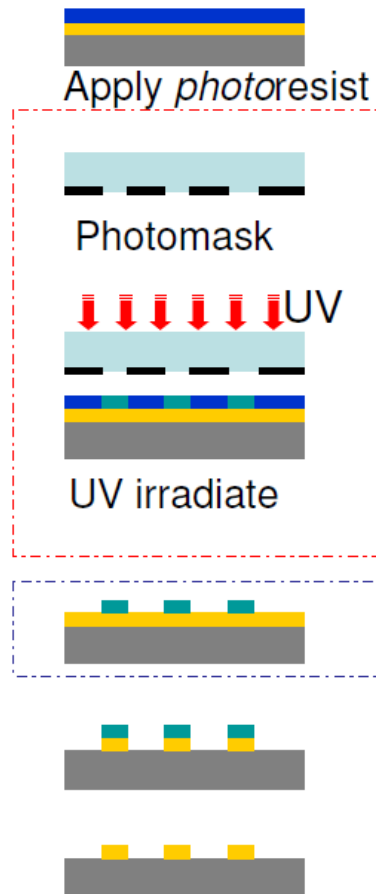




## Nanoimprint lithography: comparison

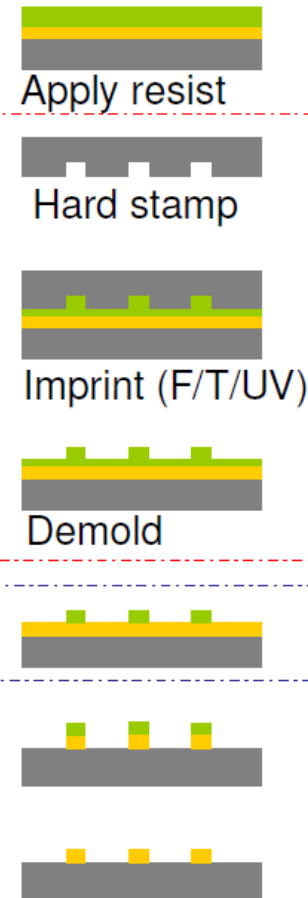
### Photo lithography

Resolution limit  
~ 125 nm

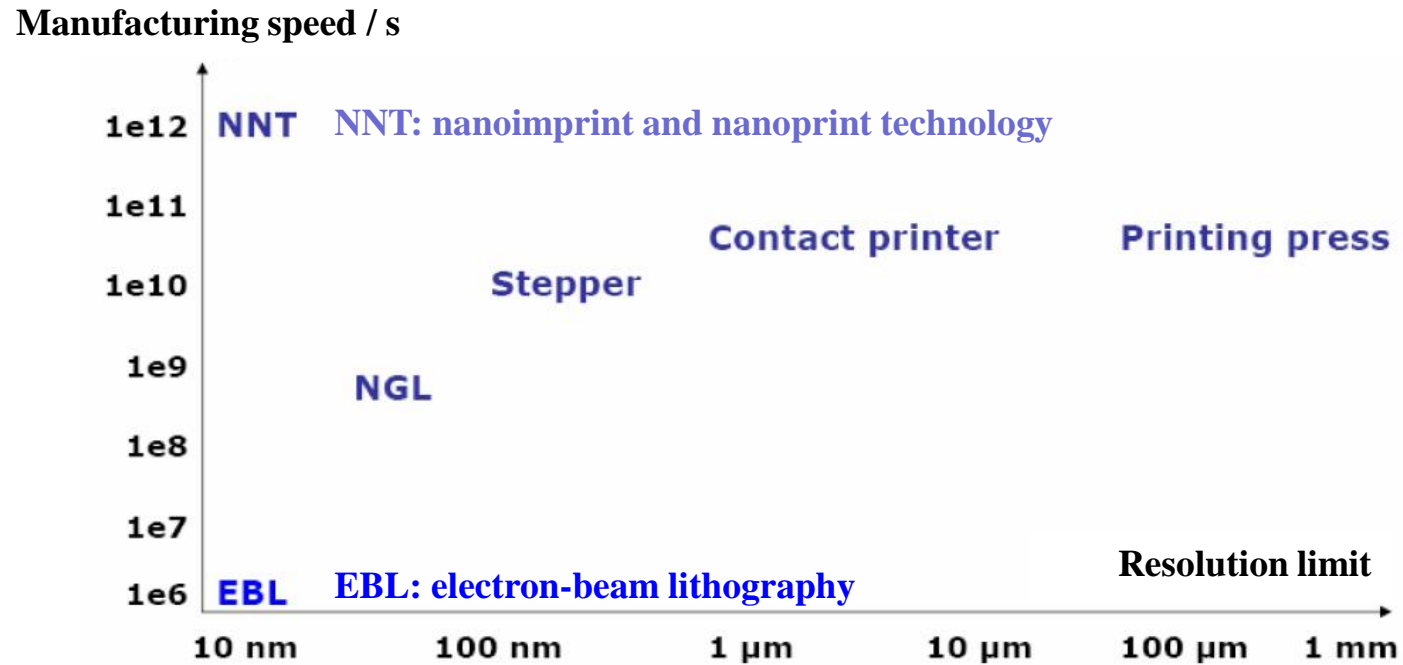


### Nanoimprint lithography

Resolution limit  
5 ~ 50 nm



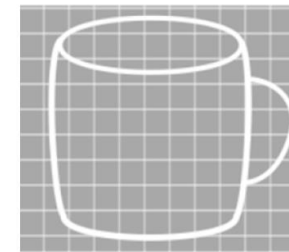
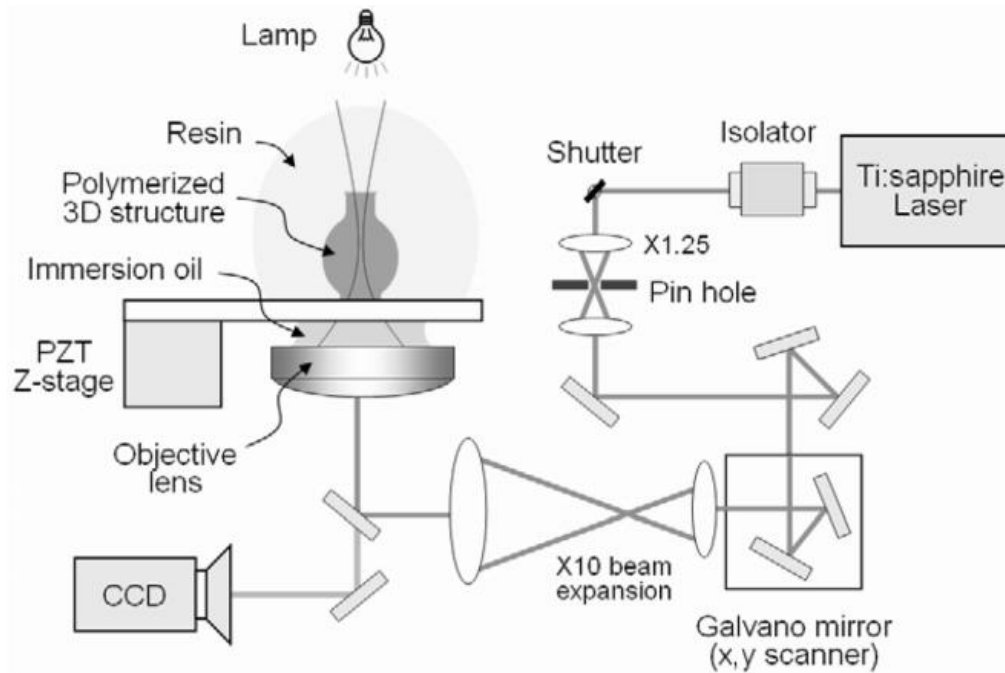
## Nanoimprint lithography: comparison



Nanoimprint lithography has an advantages for mass production of nano patterns.

# Nano-stereolithography

## Nano-stereolithography



**Modeling**

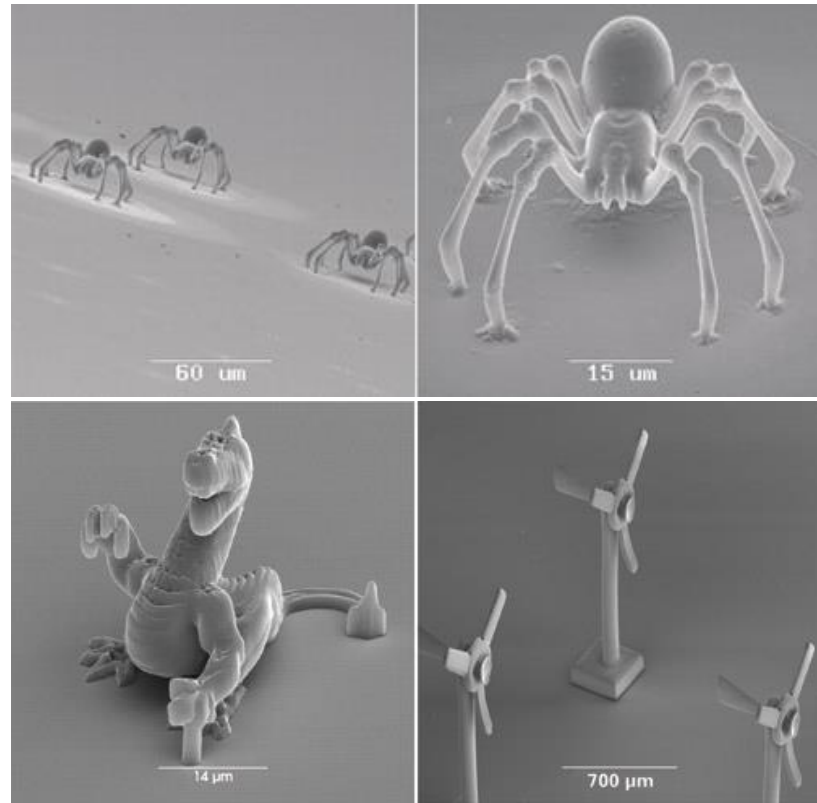
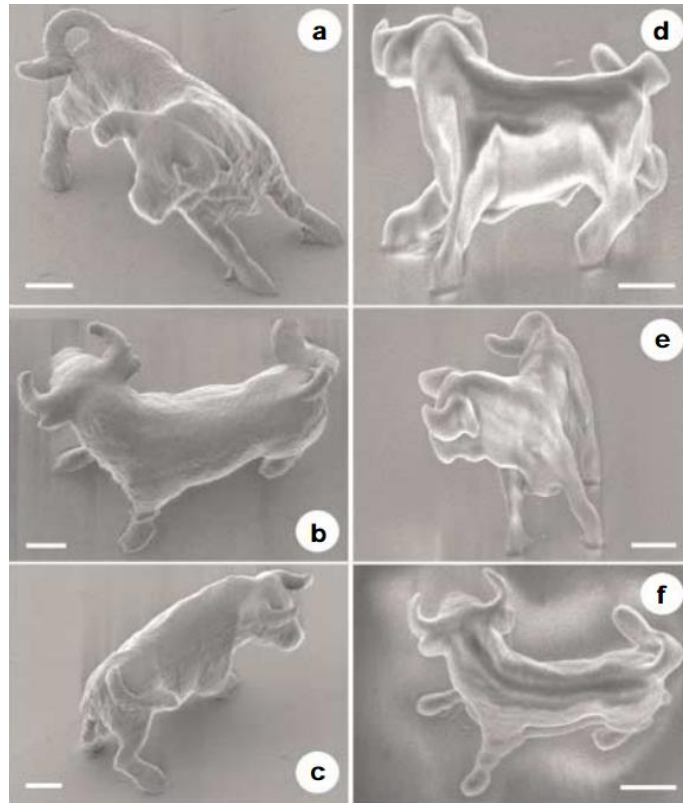


**Layer-by-layer deposition**



**Final object**

## Nano-stereolithography: examples



## Nano-stereolithography: examples

